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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ashkenasi, David; Rosenfeld, Arkadi; Knappe, Verena; and Müller, Gerhard

Application No.: 10/520,336

Group No.: Not Yet Assigned

Filed: January 5, 2005

Examiner: Not Yet Assigned

For: MICROSTRUCTURING OF OPTICAL WAVEGUIDES TO PRODUCE OPTICAL
FUNCTIONAL ELEMENTS

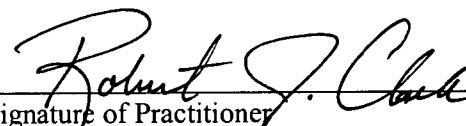
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WITHIN THREE MONTHS OF FILING OR
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The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: September 7, 2005


Signature of Practitioner
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ashkenasi et al.

Examiner:

Not Yet Assigned

Serial Number:

10/520,336

Art Unit:

Not Yet Assigned

Filing Date:

January 5, 2005

Date:

September 7, 2005

For: MICROSTRUCTURING OF OPTICAL WAVEGUIDES TO PRODUCE OPTICAL FUNCTIONAL ELEMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97**

This Information Disclosure Statement is filed within three months of the filing date of this application or before the mailing of an Office Action on the merits, whichever event occurs last, and therefore is considered timely under 37 CFR §1.97(b).

According to the terms of 37 CFR §1.97(g), this Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

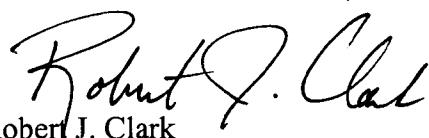
The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13, at 25. This Information Disclosure Statement is made to comply with the duty of candor imposed on all individuals associated with the filing or prosecution of this application, as defined by 37 CFR §1.56(c).

A list of eleven (11) references cited by the applicant is enclosed on the PTO/SB/08A and PTO/SB/08B (substitute PTO-1449) forms, which are attached and made a part thereof. Copies of eight (8) references (AA-AC and AE-AI) cited in the PCT International Search Report for International Application No. PCT/EP03/07183 dated January 14, 2004, along with a copy of the Search Report (AK) are enclosed.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.C./

The foregoing Information Disclosure Statement Under 37 C.F.R. §1.97 is based upon the information contained in the undersigned attorney's file as of the filing date of this statement, and is inclusive of the best information known to the undersigned at that date. Prompt consideration of the Information Disclosure Statement under 37 C.F.R. §1.97 and the references cited therein by the Examiner is respectfully requested.

Respectfully submitted,
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

<i>Complete if Known</i>	
Application Number	10/520,336
Filing Date	01/05/2005
First Named Inventor	David Ashkenasi
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	117040.00086

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	AE	WO	00/79319	A1	12/28/2000	Gu et al.	
	AF	DE	197 39 456	A1	03/04/1999	Glotz et al.	
	AG	WO	99/23041		05/14/1999	Rychnovsky et al.	
	AH	EP	1 116 965	A1	07/18/2001	Miura et al.	
	AI	WO	01/09899	A1	02/08/2001	Borrelli et al.	

Examiner Signature	/Erin Chiem/	Date Considered	04/14/2008
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

of

1

Attorney Docket Number

117040 00086

<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>				<p>Complete if Known</p>	
<p>Sheet</p>		<p>1</p>	<p>of</p>	<p>1</p>	<p>Attorney Docket Number</p>
<p>Application Number</p>		<p>10/520,336</p>			
<p>Filing Date</p>		<p>01/05/2005</p>			
<p>First Named Inventor</p>		<p>David Ashkenasi</p>			
<p>Art Unit</p>		<p>Not Yet Assigned</p>			
<p>Examiner Name</p>		<p>Not Yet Assigned</p>			

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	/Erin Chiem/	Date Considered	04/14/2008
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